

RAKEZ CONSTRUCTION VIOLATION CODE FOR CONTRACTORS AND CONSULTANTS

NO.	DESCRIPTION	VIOLATION 1	VIOLATION 2 (2ND INSTANCE AND SUBSEQUENT CASES)
1	<p>RAKEZ Inspectors are being obstructed from</p> <ul style="list-style-type: none"> • Entering the place of business • Examining records • Making copies of the records • Inspecting, documenting, and recording the status of the facilities as required • Taking photographs or collecting samples of materials or substances as required 	AED 5,000	AED 10,000 with project suspension
2	Facing inappropriate behaviour or misconduct directed at the RAKEZ inspector	AED 5,000	AED 10,000 with project suspension
3	Unauthorised discharge of waste or any act that causes or has the potential to cause environmental contamination of soil/air/water or disrupts RAKEZ's functions, properties, or neighbouring areas	AED 25,000 and report the incident to EPDA	
4	Operating lifting equipment without a valid RAKEZ HS&E NOC for Lifting Equipment	AED 5,000 per violation within the project span (with a deadline of up to 5 calendar days)	AED 10,000 per violation with activity or project suspension

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5	Storing chemicals without a valid RAKEZ HS&E NOC for Storage of Chemicals	AED 5,000 per violation within the project span (with a deadline of up to 5 calendar days)	AED 10,000 per violation with activity or project suspension
6	Storing and or use of LPG tank/cylinder without a valid RAKEZ HS&E NOC	AED 5,000 per violation within the project span (with a deadline of up to 5 calendar days)	AED 10,000 per violation with activity or project suspension
7	Operating without a valid RAKEZ HS&E NOC for Radiography materials and its related activities.	AED 10,000 and immediate suspension of project	
8	Blocking roads without a valid RAKEZ HS&E NOC for Temporary Road Blockage	AED 5,000 per violation within the project span (with a deadline of up to 5 calendar days)	AED 10,000 per violation with activity or project suspension
9	Failure to immediately notify RAKEZ for a reportable incident as per RAKEZ's HS&E Regulations	AED 10,000 per incident	
10	Fatal accident as a consequence of management's willful misconduct, fraud and negligence	AED 100,000	
11	Serious injury as a consequence of management's willful misconduct, fraud and negligence	AED 10,000 - 50,000	

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12	Conducting lifting operations without a certified and competent rigger and/or operator, as stipulated in RAKEZ HS&E Construction Regulations	AED 5,000 per violation within the project span (with a deadline of up to 5 calendar days)	AED 10,000 per violation with activity suspension
13	Performing work at height without adequate edge protection, necessary fall prevention measures, or compliance with safe working procedures	AED 5,000 per violation within the project span (with a deadline of up to 5 calendar days)	AED 10,000 per violation with activity suspension
14	Use of unauthorised or non-compliant scaffolding systems, including issues in design, erection, access, or inspection.	AED 5,000 per violation within the project span (with a deadline of up to 5 calendar days)	AED 10,000 per violation with activity suspension
15	Failure to ensure excavation safety compliance, including mandatory edge protection, safe access, and protective systems such as shoring, shielding, or sloping to prevent collapse and protect workers	AED 5,000 per violation within the project span (with a deadline of up to 5 calendar days)	AED 10,000 per violation with activity suspension
16	Allowing personnel to enter confined spaces without following the mandatory safety requirements outlined in RAKEZ Health, Safety, and Environment (HS&E) Construction Regulations	AED 5,000 per violation within the project span (with a deadline of up to 5 calendar days)	AED 10,000 per violation with activity suspension

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17	Absence of a designated HS&E representatives as stipulated in RAKEZ HS&E Construction Regulation	AED 5,000 per violation within the project span (with a deadline of up to 5 calendar days)	AED 10,000 per violation with activity suspension
18	Engaging in or allowing life-threatening unsafe acts/conditions with an immediate risk of personal injury on site	AED 5,000 per violation within the project span with activity suspension (with a deadline of up to 5 calendar days)	AED 10,000 per violation with activity suspension
19	Failure to obtain the applicable HS&E Stage NOC for construction or modification	AED 5,000 per violation within the project span (with a deadline of up to 5 calendar days)	AED 10,000 per violation with activity suspension
20	Failure to provide illumination levels appropriate for specific tasks and environmental conditions	AED 2,000 per violation within the project span (with a deadline of up to 5 calendar days)	AED 5,000 per violation with activity suspension or project suspension
21	Consistent failure to act on HS&E observations identified during inspections, including those not specifically listed under this violation code	AED 2,000 per observation (with a deadline of up to 5 calendar days)	AED 5,000 per violation with activity or project suspension
22	Failure to make satisfactory compliance with an undertaking letter within the timeframe agreed upon with HS&E	AED 2,000 per violation (with a deadline of up to 5 calendar days)	AED 5,000 per violation with activity or project suspension

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23	Engaging in activities that require a permit, as outlined in the RAKEZ HS&E Construction Regulations, without obtaining a valid internal work permit	AED 2,000 per violation within the project span (with a deadline of up to 5 calendar days)	AED 5,000 per violation with activity suspension or project suspension
24	Deployment of workers and entry of visitors to the site without completion of the required the safety induction, along with failure to maintain proper documentation of induction records	AED 2,000 per violation within the project span (with a deadline of up to 5 calendar days)	AED 5,000 per violation with activity suspension or project suspension
25	Failure to establish adequate construction site boundaries, including hoarding/fencing, controlled access points for pedestrians and vehicles, and security measures at access gates	AED 2,000 per violation within the project span (with a deadline of up to 5 calendar days)	AED 5,000 per violation with activity suspension or project suspension
26	Failure to implement a heat stress management programme tailored to the specific conditions of the worksite, as required by RAKEZ HS&E Construction Regulations and UAE labour laws	AED 2,000 per violation within the project span (with a deadline of up to 5 calendar days)	AED 5,000 per violation with activity suspension or project suspension
27	Engaging in any activity without an engineer approved Method Statement and Risk Assessment (MSRA), failing to implement control measures as per the Risk	AED 2,000 per violation within the project span (with a deadline of up to 5 calendar days)	AED 5,000 per violation with activity suspension or project suspension

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	Assessment, or deploying workers without conducting an MSRA briefing		
28	Inadequate provision of mandated welfare arrangements, including failure to maintain hygiene standards, first aid facilities, and designated smoking areas, as well as the absence of trained first aid personnel	AED 2,000 per violation within the project span (with a deadline of up to 5 calendar days)	AED 5,000 per violation with activity suspension or project suspension
29	Failure to implement and enforce mandatory Personal Protective Equipment (PPE) protocols, resulting in inadequate provision, monitoring, and usage compliance at the worksite	AED 2,000 per violation within the project span (with a deadline of up to 5 calendar days)	AED 5,000 per violation with activity suspension or project suspension
30	Failure to establish and implement noise protection measures for workers exposed to excessive operational noise	AED 2,000 per violation within the project span (with a deadline of up to 5 calendar days)	AED 5,000 per violation with activity suspension or project suspension
31	Failure to establish, implement, and maintain compliant waste management procedures, including deficiencies in disposal protocols and regulatory recordkeeping practices	AED 2,000 per violation within the project span (with a deadline of up to 5 calendar days)	AED 5,000 per violation with activity suspension or project suspension

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32	Failure to maintain documented emergency response procedures and ensure compliance with emergency access and egress requirements, including keeping routes unobstructed and clearly marked	AED 2,000 per violation within the project span (with a deadline of up to 5 calendar days)	AED 5,000 per violation with activity suspension or project suspension
33	Failure to implement and maintain fire safety measures including the provision of temporary firefighting systems as per the UAE Fire and Life Safety Code of Practice, unsafe storage of flammable or hazardous materials, and the absence of designated fire safety coordinators	AED 2,000 per violation within the project span (with a deadline of up to 5 calendar days)	AED 5,000 per violation with activity suspension or project suspension
34	Failure to comply with electrical safety standards, including non-conformance in the installation, operation, and maintenance of electrical systems and equipment, as well as inadequate inspection practices	AED 2,000 per violation within the project span (with a deadline of up to 5 calendar days)	AED 5,000 per violation with activity suspension or project suspension
35	Failure to display appropriate and clearly visible safety signage within the construction area, in accordance with regulatory and site-specific safety requirements	AED 2,000 per violation within the project span (with a deadline of up to 5 calendar days)	AED 5,000 per violation with activity suspension or project suspension

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36	Failure to perform pre-operational checks and/or obtain third-party certification of equipment, as mandated by RAKEZ HS&E Construction Regulation	AED 2,000 per violation within the project span (with a deadline of up to 5 calendar days)	AED 5,000 per violation with activity suspension or project suspension
37	Unauthorised operation of equipment or vehicles on site by personnel lacking valid licenses, mandatory training, and required certifications	AED 2,000 per violation within the project span (with a deadline of up to 5 calendar days)	AED 5,000 per violation with activity suspension or project suspension